



PATENT  
Attorney Docket No. ASC-061

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):       Lochtefeld *et al.*  
SERIAL NO.:           10/629,498           GROUP NO.:           2811  
FILING DATE:         July 29, 2003         EXAMINER:           Not Yet Assigned  
TITLE:                SELECTIVE PLACEMENT OF DISLOCATION ARRAYS

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 21<sup>st</sup> day of April, 2004.

  
Wendy Martin

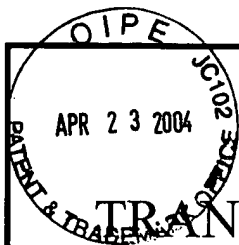
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Supplemental Information Disclosure Statement (2 pgs.);
3. Supplemental Form PTO-1449 (1 pg.);
4. Copies of Cited References (B1-B3 and C38);
5. Return Receipt Postcard; and this
6. Certificate of First Class Mailing (1 pg.).

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# TRANSMITTAL FORM

Application Serial Number	10/629,498
Filing Date	July 29, 2003
First Named Inventor	Lochtefeld
Group Art Unit	2811
Examiner Name	Not Yet Assigned
Attorney Docket No.	ASC-061
Patent No.	Not Applicable
Issue Date	Not Applicable

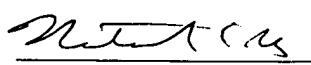
## ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> Preliminary<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]<br><input type="checkbox"/> Petition for Extension of Time<br><input checked="" type="checkbox"/> Supplemental Information Disclosure Statement<br><input checked="" type="checkbox"/> Supplemental Form PTO-1449<br><input checked="" type="checkbox"/> Copies of cited references<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application<br><input type="checkbox"/> Formal Drawings<br><input type="checkbox"/> Request For Continued Examination (RCE) Transmittal<br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> CD(s) for large table or computer program<br><input type="checkbox"/> Amendment After Allowance<br><input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences<br><input type="checkbox"/> Appeal Brief (in triplicate)<br><input type="checkbox"/> Status Inquiry<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8<br><input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|--|---|---|

## CORRESPONDENCE ADDRESS

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## SIGNATURE BLOCK

Respectfully submitted,  
  
Date: Apr. 12, 2004  
Reg. No. 44,381  
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Attorney Docket No. ASC-061

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P.O. Box 1450  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the publications and non-U.S. patents are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and

- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein,  
or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the  
payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included  
herein.


It is respectfully requested that each of the patents and publications listed on the attached  
Form PTO-1449, and other information contained herein, be made of record in this application.

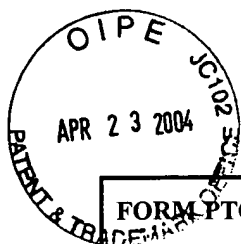
Date: April 21, 2009  
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Respectfully submitted,

  
\_\_\_\_\_  
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125 High Street  
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<b>FORM PTO - 1449</b> <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>				ATTY DOCKET NO. ASC-061					
				APPLICANTS: Lochtefeld <i>et al.</i>					
				SERIAL NO.: 10/629,498					
				FILING DATE: July 29, 2003					
				GROUP: 2811					
<b>U.S. PATENT DOCUMENTS</b>									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	A16	5,357,119	10/1994	Wang et al.					
	A17	5,668,387	09/1997	Streit et al.					
	A18	5,844,260	12/1998	Ohori					
	A19	6,037,615	03/2000	Matsuyama et al.					
	A20	2003/0227057	12/2003	Lochtefeld et al.			10/2002		
	A21	2004/0005740	01/2004	Lochtefeld et al.			06/2003		
	A22	2004/0031979	02/2004	Lochtefeld et al.			06/2003		
<b>FOREIGN PATENT DOCUMENTS</b>									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B1	0 402 209	12/1990	EP				N	Y
	B2	0 523 487	01/1993	EP				N	N
	B3	1 197 992	04/2002	EP				N	Y
<b>OTHER ART, JOURNAL ARTICLES, ETC.</b>									
EXAM. INIT.	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>								
	C38	International Search Report for International Application No. PCT/US03/23804, 3/15/2004							
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>				

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